

9/30/03

Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. AUS920030481US1		SERIAL NO. Not Assign d	
APPLICANT DeWitt, Jr. et al.			FILING DATE		GROUP ART UNIT Not Assign d	

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE	
<div style="font-size: 2em;">/</div>	AA	5,103,394	Apr. 7, 1992	Blasciak	395/575 Dec. 21, 1989	
	AB	6,330,662 B1	Dec. 11, 2001	Patel et al.	712/236 Feb. 23, 1999	
	AC	6,480,938 B2	Nov. 12, 2002	Vondran, Jr.	711/125 Dec. 15, 2000	
	AD	6,430,741 B1	Aug. 6, 2002	Mattson, Jr. et al.	717/154 Feb. 26, 1999	
	AE	6,189,141 B1	Feb. 13, 2001	Benitez et al.	717/4 May 4, 1998	
	AF	5,930,508	Jul. 27, 1999	Faraboschi et al.	395/706 Jun. 9, 1997	
	AG	6,351,844 B1	Feb. 26, 2002	Bala	717/4 Nov. 5, 1998	
	AH	6,324,689 B1	Nov. 27, 2001	Lowney et al.	717/9 Sep. 30, 1998	
	AI	6,442,585 B1	Aug. 27, 2002	Dean et al.	709/108 Nov. 26, 1997	
	AJ	5,774,724	Jun. 30, 1998	Heisch	395/704 Nov. 20, 1995	
	AK	5,987,250	Nov. 16, 1999	Subrahmanyam	395/704 Aug. 21, 1997	
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	AM	5,691,920	Nov. 25, 1997	Levine et al.	364/551.01 Oct. 2, 1995	
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	AQ	6,446,029 B1	Sep. 3, 2002	Davidson et al.	702/186 Jun. 30, 1999	
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	AT	6,243,804 B1	Jun. 5, 2001	Cheng	712/228 Jul. 22, 1998	
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	AV	5,938,778	Aug. 17, 1999	John, Jr. et al.	714/45 Nov. 10, 1997	
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DATE CONSIDERED <u>2-27-07</u>	EXAMINER <u>[Signature]</u>
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U.S. PATENT DOCUMENTS						
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OAT	BB 2002/0129309	Sep. 12, 2002	Floyd et al.	714/724	Dec. 18, 2000	
I	BC 2001/0032305	Oct. 18, 2001	Barry	712/34	Feb. 23, 2001	
I	BD 2002/0199179	Dec. 26, 2002	Lavery et al.	717/158	Jun. 21, 2001	
I	BE 2002/0124237	Sep. 5, 2002	Sprunt et al.	717/127	Dec. 29, 2000	
I	BF 2002/0147965	Oct. 10, 2002	Swaine et al.	717/124	Feb. 1, 2001	
IAT	BG 2002/0019976	Feb. 14, 2002	Patel et al.	717/137	May 25, 2001	

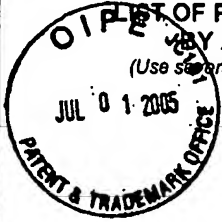
FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO	
OAT	BH JP2000029731	Dec. 8, 1999	Japan	G06F 9/38		X
OAT	BI JP2000347863	Dec. 15, 2000	Japan	G06F 9/38		X

OTHER PRIOR ART (including author title, date, pertinent page, etc.)		
NC	BJ	Kikuchi, "Parallelization Assist System", Joho Shori, Vol. 34, No. 9, Sept. 1993, pp. 1158-1169.
IAT	BK	Cohen et al., "Hardware-Assisted Characterization of NAS Benchmarks", Cluster Computing, Vol. 4, No. 3, July 2001, pp. 189-196.
IAT	BL	Talla et al., "Evaluating Signal Processing and Multimedia Applications on SIMD, VLIW and Sup r Scalar Architectures", International Conference on Computer Design, Austin, Sept. 17-20, 2000, pp. 163-172.
IAT	BM	Iwasawa et al., "Parallelization Method of Fortran DO Loops by Parallelizing Assist System", Transactions of Information Processings Society of Japan, Vol. 36, No. 8, Aug. 1995, pp. 1995-2006.
IAT	BN	Talla et al., "Execution Characteristics of Multimedia Applications on a Pentium II Processor", IEEE International Performance, Computing, and Communications Conference, 19 th , Phoenix, Feb. 20-22, 2000, pp. 516-524.
IAT	BO	IBM Research Disclosure Bulletin 444188, "Enable Debuggers as an Objective Performance Measurement Tool for Software Development Cost Reduction", April 2001, pp. 686-688.

RELATED PATENT APPLICATIONS				
EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE
NC	BP 09/435,069 AT9-99-491	Davidson et al.	Method and Apparatus for Instruction Sampling for Performance Monitoring and Debug	Nov. 4, 1999
NC	BQ 08/538,071 AUT919950055US1	Gover et al.	Method and System for Selecting and Distinguishing an Event Sequence using an Effective Address in a Processing System	Oct. 2, 1995

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Form PTO-1449		ATTORNEY DOCKET NO. AUS920030481US1		SERIAL NO. 10/675,776	
 LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT DeWitt, Jr. et al.			
		FILING DATE September 30, 2003		GROUP ART UNIT 2122	
RELATED PATENT APPLICATIONS					
EXAMINER INITIAL		APPLICATION NO/ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE
WJ	AA	10/675,777 / AUS920030477US1	DeWitt, Jr. et al.	Method and Apparatus for Counting Instruction Execution and Data Accesses	Sep. 30, 2003
	AB	10/674,604 / AUS920030478US1	DeWitt, Jr. et al.	Method and Apparatus for Selectively Counting Instructions and Data Accesses	Sep. 30, 2003
	AC	10/675,831 / AUS920030479US1	DeWitt, Jr. et al.	Method and Apparatus for Generating Interrupts Upon Execution of Marked Instructions and Upon Access to Marked Memory Locations	Sep. 30, 2003
	AD	10/675,778 / AUS920030480US1	DeWitt, Jr. et al.	Method and Apparatus for Counting Data Accesses and Instruction Executions that Exceed a Threshold	Sep. 30, 2003
	AE	10/675,751 / AUS920030482US1	DeWitt, Jr. et al.	Method and Apparatus for Debug Support for Individual Instructions and Memory Locations	Sep. 30, 2003
	AF	10/675,721 / AUS920030483US1	Levine et al.	Method and Apparatus to Autonomically Select Instructions for Selective Counting	Sep. 30, 2003
	AG	10/674,642 / AUS920030484US1	Levine et al.	Method and Apparatus to Autonomically Count Instruction Execution for Applications	Sep. 30, 2003
	AH	10/674,606 / AUS920030485US1	Levine et al.	Method and Apparatus to Autonomically Take an Execution on Specified Instructions	Sep. 30, 2003
✓	AI	10/675,783 / AUS920030486US1	Levine et al.	Method and Apparatus to Autonomically Profile Applications	Sep. 30, 2003
WJ	AJ	10/675,872 / AUS920030487US1	DeWitt, Jr. et al.	Method and Apparatus for Counting Instruction and Memory Location Ranges	Sep. 30, 2003
DATE CONSIDERED 02-27-07			EXAMINER <i>Wm. Andrus</i>		
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application Number	10/675,776
Filing Date	09/30/2003
First Named Inventor	DeWitt, Jr. et al.
Examiner Name	Unknown
Art Unit	2122
Attorney Docket No.	AUS920030481US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
NAJ	AA 4,034,353	07-1977	Denny et al.	
	AB 4,821,178	04-1989	Levin et al.	
	AC 5,151,981	09-1992	Westcott et al.	
	AD 5,581,482	12-1996	Wiedenman et al.	
	AE 5,594,864	01-1997	Trauben	
	AF 5,691,920	11-1997	Levine et al.	
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	AH 6,480,966	11-2002	Rawson, III	
	AI 2003/0135720	07-2003	DeWitt et al.	
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	AK 6,185,652	02-2001	Shek et al.	
	AL 4,145,735	03-1979	Soga	
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	AN 4,794,472	12-1988	Doyama	
	AO 6,161,187	12-2000	Mason et al.	
	AP 3,707,725	12-1972	Dellheim	
	AQ 6,408,386	06-2002	Hammond et al.	
	AR 6,775,728	08-2004	Zimmer et al.	
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WAT	AV 4,825,359	04-1989	Ohkami et al.	

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Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

NON PATENT LITERATURE

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
WAT	AW Mano, "Computer System Architecture", Prentice-Hall, Inc., 2 nd Ed., 1982, pp.434-443.
WAT	AX Inoue, "Digital mobile communication system designed for nationwide police activities - WIDE system", IEEEExplore, October 1996, pp. 1-2.

Examiner:

WAT

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Application Number	10/675,776
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First Named Inventor	DeWitt, Jr. et al.
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Examiner Name	Unknown
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Art Unit	2122
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Attorney Docket No.	AUS920030481US1
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NC AC	Short, "Embedded Microprocessor Systems Design: An Introduction Using the Intel 80C188EB" Prentice-Hall, Inc.: 1998, p. 761.

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WAT	AA 5,710,881	01-20-1998	Gupta et al.	
	AB 5,822,763	10-13-1998	Baylor et al.	
	AC 5,928,334	07-27-1999	Mandyam et al.	
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WAT	AG 2004/0205302 A1	10-14-2004	Cantrill	

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WAT	AH TANENBAUM, "Structured Computer Organization", 1984, Prentice-Hall, Inc., 2 nd Edition, pp. 10-12.
WAT	AI TORRELLAS et al., "False Sharing and Spatial Locality in Multiprocessor Caches", June 1994, IEEE Transactions on Computers, Vol. 43, No. 6, pp. 651-663.
WAT	AJ ROTHMAN et al., "Analysis of Shared Memory Misses and Reference Patterns", 2000, IEEE, pp. 187-198.

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WAT	AA 5,797,019	08-18-1998	Levine et al.	
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	AD 5,113,507	05-12-1992	Jaechel	
	AE 6,681,387 B1	01-20-2004	Hwu et al.	
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WAT	AG Wikipedia "JavaServer Pages" downloaded 1/24/06 http://en.wikipedia.org/wiki/JavaServer_Pages
	AH "Hardware Cycle Based memory Residency", IBM, May 22, 2003, ip.com, IPCOM000012728D, pp. 1-2
WAT	AI HYDE, "The Art of Assembly Language", 2001, Linux Edition, pp. 247-248, retrieved Mar. 1, 2005 from http://webster.cs.ucr.edu/AoA/Linux/PDFs/0_PDFIndexLinux.html

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Application Number	10/675,776
Filing Date	09/30/2003
First Named Inventor	DeWitt, Jr. et al.
Examiner Name	Unknown
Art Unit	2191
Attorney Docket No.	AUS920030481US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	5051944	09-24-1991	Fetterolf et al.	
AB	5142634	08-25-1992	Fite et al.	
AC	5394529	02-28-1995	Brown, III et al.	
AD	6233679 B1	05-15-2001	Holmberg	
AE	5887159	03-23-1999	Burrows	
AF	6662295 B2	12-09-2003	Yamaura	
AG	5938760	08-17-1999	Levine et al.	
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AL	JEONG et al., "Cost Sensitive Cache Replacement Algorithms", June 2002, Second Workshop on Caching, Coherence and Consistency, NY, pp. 1-14.

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AA	AA 5805879	09-08-1998	Hervin et al.	
	AB 6647301 B2	11-11-2003	Sederlund et al.	
	AC 6735666 B1	05-11-2004	Koning	
	AD 2004/0153612 A1	08-05-2004	Mutz et al.	
	AE 2005/0091456 A1	04-28-2005	Huck	
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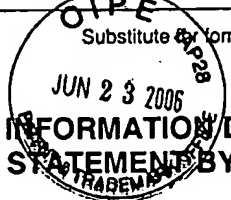
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Amir Amir

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	First Named Inventor	DeWitt, Jr. et al.
	Examiner Name	Unknown
	Art Unit	2191
	Attorney Docket No.	AUS920030481US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	6,149,318	11-21-2000	Chase et al.	
AB	6,185,671 B1	02-06-2001	Pentovski et al.	
AC	6,247,113 B1	06-12-2001	Jaggar	
AD	6,460,135 B1	10-01-2002	Suganuma	
AE	2003/0126590 A1	07-03-2003	Burrows et al.	
AF	6,842,850 B2	01-11-2005	Ganapathy et al.	

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AG	MERTEN et al., "A Hardware Driven Profiling Scheme for Identifying Program Hot Spots to Support Runtime Optimization", IEEE, 1999, pp. 136-147.

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Art Unit	2191
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AA	5,857,097	01-05-1999	Henzinger et al.	
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Application Number	10675776
Filing Date	2003-09-30
First Named Inventor	DeWitt, Jr. et al.
Art Unit	2191
Examiner Name	Unknown
Attorney Docket Number	AUS920030481US1

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Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
WAT	1	6944720	B2	2005-09-13	Sperber et al.	
WAT	2	7035996	B2	2006-04-25	Woodall et al.	

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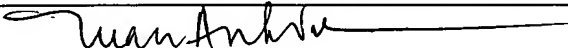
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Art Unit	2191
Examiner Name	Unknown
Attorney Docket Number	AUS920030481US1

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QAT	1	6973417	B1	2005-12-06	Maxwell et al.	
QAT	2	6721875	B1	2004-04-13	McCormick et al.	

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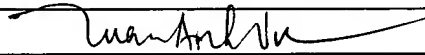
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WAT	1	5966538		1999-10-12	Granston et al.	
	2	5940618		1999-08-17	Blandy et al.	
	3	6189142	B1	2001-02-13	Johnston et al.	
	4	5768500		1998-06-16	Agrawal et al.	
	5	6026235		2000-02-15	Shaughnessy	
	6	5950003		1999-09-07	Kaneshiro et al.	
WAT	7	6594820	B1	2003-07-15	Ungar	

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MA	1	20020073406	A1	2002-06-13	Gove	
	2	20030066055	A1	2003-04-03	Spivey	
	3	20030131343	A1	2002-07-10	French et al.	
	4	20040268316	A1	2004-12-30	Fisher et al.	

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CA	1	5761103		1998-06-02	Oakland et al.	
	2	6549959	B1	2003-04-13	Yates et al.	
	3	6772322	B1	2004-08-03	Merchant et al.	
	4	5937437		1999-08-10	Roth et al.	
	5	6070009		2000-05-30	Dean et al.	
	6	6381679	B1	2002-04-30	Matsubara et al.	
	7	6687794	B2	2004-02-03	Malik	
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9	6973542	B1	2005-12-06	Schmuck et al.	
10	6782454	B1	2004-08-24	Damron	
11	5652858		1997-06-29	Okada et al.	
12	6848029	B2	2005-01-25	Coldewey	
13	5822790		1998-10-13	Mehrotra	

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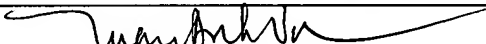
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WJ	1	"Method for the dynamic prediction of nonsequential memory accesses", September 25, 2002, pp. 1-4, ip.com IPCOM000009888D	<input type="checkbox"/>
WJ	2	"Cache Miss Director - A Means of Prefetching Cache Missed Lines", August 1, 1982, IBM Technical Disclosure Bulletin, Vol. 25, Issue 3A, pp.1286	<input type="checkbox"/>

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NA	1	5657253		1997-08-12	Dreyer et al.	
	2	5991708		1999-11-23	Levine et al.	
	3	5564015		1996-10-08	Bunnell	
	4	5450349		1995-09-12	Brown et al.	
	5	5987598		1999-11-16	Levine et al.	
	6	6009514		1999-12-18	Henzinger et al.	
	7	6112317		2000-08-29	Berc et al.	
NA	8	5896538		1999-04-20	Blandy et al.	

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	9	5355487		1994-10-11	Keller et al.	
	10	6948032	B2	2005-09-20	Kadambi et al.	

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

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	1	"CPU Cache", Wikipedia, pp. 1-14 retrieved 11/1/06 http://en.wikipedia.org/wiki/CPU_cache	<input type="checkbox"/>
	2	AMMONS et al., "Exploiting Hardware Performance Counters with Flow and Context Sensitive Profiling", 1997, ACM, pp. 85-96.	<input type="checkbox"/>

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	2	6928521	B1	2005-08-09	Burton et al.	
	3	6598153	B1	2003-07-22	Flachs et al.	
	4	5875294		1999-02-23	Roth et al.	
	5	6073109		2000-06-06	Flores et al.	
	6	6871298	B1	2005-03-22	Cavanaugh et al.	
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NA	8	6145077		2000-11-07	Sidwell et al.	

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9	6865666	B2	2005-03-08	Yoshida et al.	
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AS	1	20050257092	A1	2005-11-17	Alexander et al.	
↓	2	20050177822		2005-08-22	Kuch et al.	
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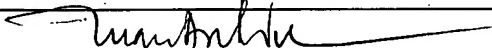
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